



Transaction Only Service

The Transaction Only Service is for those clients who have transactional needs and do not wish to have any ongoing service or wish to pay for an Adviser to provide regular reviews. Instead, any work done will be charged as and when requested, in line with our published scale of charges.

Transaction Only Service has the following features:

- Transaction Only clients take responsibility for their own affairs in determining when a review with a professional adviser is required. We will not proactively contact clients to review the plans they have, instead, Transaction Only clients will determine for themselves whether changing legislative, economic or personal circumstances necessitate help from a professional adviser, and they will need to contact us accordingly. At such time there will be a fee for updating the client file, to achieve a level of information necessary to give advice / analysis / recommendations. Thereafter, any work will be billed at an agreed rate or cost according to our schedule of charges.
- We will maintain your client file only as required by regulation, and in the event you contact us further. We will not produce reports or valuations, annual or otherwise, unless as part of agreed billed work.
- There will not be any regular reviews to confirm continuing suitability of the financial plans.
- Under this agreement, we will not charge an annual fee, and no further fees will become payable unless you contact us for additional services.

In our experience, this service is suitable for clients who are looking for help with a one-off transaction, with no on-going service or review meetings required.